



## ADDITIONAL INFORMATION ABOUT CERTAIN 'COMPLEX' FUNDS

### PERPETUAL PURE EQUITY ALPHA FUND

The following information forms part of and should be read in conjunction with Product Disclosure Statement issue number 3 dated 1 November 2018 for Perpetual Pure Series Funds (PDS).

The Australian Securities and Investments Commission (ASIC) requires the following specific information be provided for certain funds that pursue complex investment strategies (eg via use of leverage, derivatives and short selling) and/or have other characteristics (eg complex structures) that may result in increased risk:

- periodic reporting
- investment strategy
- investment manager
- fund structure
- valuation, location and custody of assets
- liquidity
- leverage
- derivatives
- short selling
- withdrawals.

We are required to provide such additional information in relation to Perpetual Pure Equity Alpha Fund (Fund) because:

- the Fund's investment strategy includes short selling
- the Fund charges a performance fee.

The following information in this document contains the latest details pertaining to the relevant additional information contained within the PDS, which may be subject to change.

#### APPROVED COUNTERPARTIES FOR OVER-THE-COUNTER (OTC) DERIVATIVES

The following counterparties are currently approved by Perpetual for any OTC derivatives used in managing the Fund:

- Commonwealth Bank of Australia (CBA)
- National Australia Bank Limited (NAB)
- Australia and New Zealand Banking Group Limited (ANZ)
- Westpac Banking Corporation (Westpac)
- Macquarie Bank Limited (Macquarie)
- UBS AG (UBS)
- State Street Bank and Trust Company (State Street).

#### KEY SERVICE PROVIDERS

The following independent key service providers for the Fund are currently appointed by Perpetual:

- investment administrator – RBC Investor Services Trust<sup>1</sup>
- custodians – Morgan Stanley & Co. International plc<sup>1</sup> and Perpetual Corporate Trust Limited<sup>1</sup>
- prime broker – Morgan Stanley & Co. International plc<sup>1</sup>
- auditor – KPMG<sup>1</sup>
- unit registry – Tech Mahindra Limited<sup>1,2</sup>.

1 These service providers are located in Australia.

2 This service provider also provides services from India.

#### ADDITIONAL INFORMATION

The following information, updated monthly, is included in the 'Fund Profile' available at [www.perpetual.com.au/pureseriesfundsupdates](http://www.perpetual.com.au/pureseriesfundsupdates):

- the asset allocations of the Fund, including the geographical location of any material international assets
- the Fund's long, short and net position percentages
- net asset value of the Fund
- net returns of the Fund
- any material changes in the Fund's risk profile
- any material changes in the Fund's investment strategy.

You can also obtain a copy of the monthly 'Fund Profile' free of charge on request.

This information has been prepared by Perpetual Investment Management Limited (PIML) ABN 18 000 866 535, AFSL 234426. It is general information only and is not intended to provide you with financial advice or take into account your objectives, financial situation or needs. You should consider, with a financial adviser, whether the information is suitable for your circumstances. To the extent permitted by law, no liability is accepted for any loss or damage as a result of any reliance on this information. The PDS for Perpetual Pure Series Funds issued by PIML should be considered before deciding whether to acquire or hold units in the Fund. The PDS and any additional or updated information can be obtained by calling 1800 022 033 or visiting our website [www.perpetual.com.au](http://www.perpetual.com.au). No company in the Perpetual Group guarantees the performance of Perpetual Pure Equity Alpha Fund or the return of an investor's capital (Perpetual Group means Perpetual Limited ABN 86 000 431 827 and its subsidiaries).