

# Perpetual Ethical SRI Fund



## About the Fund

The Perpetual Ethical SRI Fund is an actively managed fund, targeting long-term capital growth and income through investment in quality shares of ethical and socially responsible companies.

This means the Fund does not invest in companies that derive a material proportion (5% or more), and in some circumstances 0%, of their revenue from the manufacture or sale of generally ethically unacceptable products and services<sup>1</sup>. Nor does it invest in companies that fail our socially responsible investing (SRI) screen.

With a focus on finding the best opportunities, the Fund can invest in a broad range of companies, including those not held in the S&P/ASX 300 Index. The ability to invest in smaller companies provides another potential source of returns.



## How does the Fund fit into a well-balanced portfolio?

The Perpetual Ethical SRI Fund has been designed for investors who seek to generate strong long-term returns through investing in high-quality, ethical and socially responsible companies.

It has the flexibility to suit a core or satellite role in an Australian share portfolio.

The Fund's small to mid-cap bias could complement an existing Australian equity portfolio that is concentrated in large cap holdings.



## Why invest with Perpetual?

As one of Australia's longest serving and most trusted investment managers, our longstanding commitment is to deliver superior outcomes over the long-term for our clients. We have a long-standing commitment to responsible investment. In 2009 we became a signatory to the United Nations supported Principles for Responsible Investment. This commits us to incorporate environmental, social and governance factors into our core investment processes.

Our disciplined process of identifying high-quality, attractively valued investment opportunities, has been tested and proven for 50+ years. We actively manage the investments of our clients, based on fundamental research and analysis. To augment this process, we constantly invest in the quality and depth of our team. Today we have a large, dedicated and highly regarded team, with extensive investment management experience.

## How to invest

Whether you're a first-time investor or an investment professional, you can access our investment expertise in the way that best suits your individual needs:

### ► Direct investment

You can invest directly as an investor or adviser with a minimum amount of \$25,000. You can apply online or using our paper application.

### ► Invest via a platform

You can invest with us via a platform, which is generally offered through a financial planner. A platform bundles a range of managed funds and investments as one single product to provide consolidated administration, tax, and distribution reporting.

## Fund at a glance

### Investment objective

The Fund aims to provide long-term capital growth and regular income through investment in quality shares of ethical and socially responsible companies and to outperform the S&P/ASX 300 Accumulation Index (before fees and taxes) over rolling three-year periods.

### Benchmark

S&P/ASX 300 Accumulation Index

### Certifications

RIAA certification:



CERTIFIED BY RIAA

UNPRI signatory:

Signatory of:



### Investment guidelines

Australian shares	<b>90–100%</b>
Cash	<b>0–10%</b>
Maximum offshore listed stock	<b>20%</b>
Inception date	<b>April 2002</b>
Distributions	<b>Half-yearly</b>
APIR Code	<b>PER0116AU</b>
Management fee (% pa)*	<b>1.175%</b>
Typical tracking error range	<b>3–10%</b>
Number of stocks	<b>30–80</b>
Active stock limit	<b>+/-8%</b>
Buy spread#	<b>0.15%</b>
Sell spread#	<b>0.15%</b>

### Portfolio manager



**Nathan Hughes**

### What are the risks?

All investments carry risk and different strategies may carry different levels of risk. The relevant product disclosure statement or offering document for a fund should be considered before deciding whether to acquire or hold units in that fund. Your financial adviser can assist you in determining whether a fund is suited to your financial needs.

RIAA's RI Certification Symbol signifies that a product or service offers an investment style that takes into account environmental, social, governance or ethical considerations. The Symbol also signifies that adheres to the strict operational and disclosure practices required under the Responsible Investment Certification Program for the category of Product. The Certification Symbol is a Registered Trademark of the Responsible Investment Association Australasia (RIAA). Detailed information about RIAA, the Symbol and the Perpetual Ethical SRI Fund's methodology, performance and stock holdings can be found at [www.responsiblereturns.com.au](http://www.responsiblereturns.com.au), together with details about other responsible investment products certified by RIAA<sup>1</sup>

<sup>1</sup> The Responsible Investment Certification Program does not constitute financial product advice. Neither the Certification Symbol nor RIAA recommends to any person that any financial product is a suitable investment or that returns are guaranteed. Appropriate professional advice should be sought prior to making an investment decision. RIAA does not hold an Australian Financial Services Licence.

<sup>1</sup> For involvement in highly controversial activities (production of tobacco, tobacco-based products and the development and production of controversial weapons) a 0% revenue threshold is applied.

\* Information on Management Costs (including estimated indirect costs) is set out in the Fund's PDS.

# As at 18 December 2020. Subject to change. Refer to the Fund's PDS for the latest spreads.

This information has been prepared by Perpetual Investment Management Limited (PIML) ABN 18 000 866 535, AFSL 234426. It is general information only and is not intended to provide you with financial advice or take into account your objectives, financial situation or needs. You should consider, with a financial adviser, whether the information is suitable for your circumstances. To the extent permitted by law, no liability is accepted for any loss or damage as a result of any reliance on this information. The product disclosure statement (PDS) for the Perpetual Ethical SRI Fund issued by PIML, should be considered before deciding whether to acquire or hold units in the Fund. The PDS and Target Market Determination can be obtained by calling 1800 022 033 or visiting our website [www.perpetual.com.au](http://www.perpetual.com.au). No company in the Perpetual Group (Perpetual Limited ABN 86 000 431 827 and its subsidiaries) guarantees the performance of any fund or the return of an investor's capital. 3125\_0921

## Find out more

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Perpetual The Perpetual logo symbol, a stylized white 'P' on a dark blue background.