# APPLICATION FORM

Please complete this form using BLACK INK and print well within the boxes in CAPITAL LETTERS. Mark appropriate boxes with a cross like the following ☒. Start at the left of each answer space and leave a gap between words.

**Please ensure this form is fully complete and all required documentation is provided to either your financial adviser or us, so we can process your application.**

## 1. Investment details

Are you an existing Perpetual investor?

no ☐

yes ☐ client number ☐☐☐☐☐☐☐☐☐

new account* ☐ Please remember to also complete the customer identification for your investor type.

additional investment ☐ existing account number ☐☐☐☐☐☐☐☐☐☐☐ please go to section 3

**Investor type**

| | | | | |
|---|---|---|---|---|
| individual ☐ | joint ☐ | sole trader ☐ | company ☐ | superannuation fund ☐ |
| trust ☐ | partnership ☐ | association ☐ | government body ☐ | co-operative ☐ |

* Individual and joint investors – Please follow the instructions in section 9 of this application form, 'Identification verification for individual and joint investors'. Companies, trusts and all other investor types – Please complete a 'Customer Identification form' for your investor type inserted in the PDS or available on our website.

**Residency status for tax purposes**

Are you an Australian resident for tax purposes? yes ☐

Are you a United States (US) citizen or resident of the US for tax purposes? yes ☐ no ☐

If yes, provide your US Taxpayer Identification Number ☐☐☐☐☐☐☐☐☐

If you are a tax resident of another country, please specify your country of residence.

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## 2. Investor details

### A. Individual and joint account holders

**Investor 1** (individual account holder)

title

Mr Mrs Miss Ms other

first name(s)

last name

date of birth (mandatory)

/ /

gender

male female

tax file number (refer to page 31)

or TFN exemption code (if applicable, refer to page 39)

residential address (mandatory)

unit number street number

street name

suburb

state postcode

country

phone (business hours)

phone (after hours)

mobile

email address

**Investor 2** (joint account holder)

title

Mr Mrs Miss Ms other

first name(s)

last name

date of birth (mandatory)

/ /

gender

male female

tax file number (refer to page 31)

or TFN exemption code (if applicable, refer to page 39)

same contact details as investor 1

unit number street number

street name

suburb

state postcode

country

phone (business hours)

phone (after hours)

mobile

email address

By providing this email address, I/we agree that Perpetual may use this email address to provide me/us with information about my/our investment (such as transaction confirmations, statements, reports and other materials or notifications required by the Corporations Act) or Perpetual's products, services and offers. From time to time we may still need to send you mail.

## 2. Investor details (continued)

**A. Individual and joint account holders – postal address** (optional)

**Investor 1** (individual account holder)

po box

unit number

street number

street name

suburb

state

postcode

country

**Investor 2** (joint account holder)

same contact details as investor 1

po box

unit number

street number

street name

suburb

state

postcode

country

**B. All other account holders**

company name/corporate trustee

name of superannuation fund, trust, partnership, association, government body or co-operative

tax file number (refer to page 31)

or ABN

c/-

po box

unit number

street number

street name

suburb

state

postcode

country

phone (business hours)

mobile

fax

email address

By providing this email address, I/we agree that Perpetual may use this email address to provide me/us with information about my/our investment (such as transaction confirmations, statements, reports and other materials or notifications required by the Corporations Act) or Perpetual's products, services and offers. From time to time we may still need to send you mail.

## 3. Authorised representative

Would you like to appoint an authorised representative? Before appointing an authorised representative, refer to page 30 for more details.

no ☐ please go to section 4

yes please complete the details below.
I have read the terms and conditions associated with appointing an authorised representative available on page 36.

Online Account Access for my authorised representative

view and transact (default) ☐ or view only ☐ or no access ☐

**authorised representative details:**

first name(s)

last name

signature of authorised representative

date / /

## 4. Features

| **Indicate which optional features you would like applied to your account** | | **Frequency (if applicable)** |
|---|---|---|
| **Savings plan** refer to page 27 for details | yes ☐ | monthly (default) ☐<br>quarterly ☐<br>on 10th ☐ or 20th ☐ |
| **BPAY** for making investments refer to page 26 for details | yes (default) ☐<br>no ☐ | n/a |
| **Regular withdrawal plan** refer to page 29 for details | yes ☐ | monthly (default) ☐<br>quarterly ☐<br>on 10th ☐ or 20th ☐ |
| **Auto-rebalancing** refer to page 28 for details | yes ☐ | quarterly ☐<br>half-yearly (default) ☐<br>yearly ☐ |
| **Phone withdrawal facility** refer to page 28 for details | yes ☐ | n/a |
| **Investor Online Account Access** refer to page 30 for details | view and transact (default) ☐<br>view only ☐<br>no access ☐ | n/a |
| **Adviser Online Account Access** refer to page 30 for details<br>Note: your adviser can access information about your account online | view and transact (default) ☐<br>view only ☐ | n/a |

## 4. Features (continued)

| | | |
|---|---|---|
| **Investment information to be sent in the mail**<br>refer to page 30 for details<br>Note: most of your investment information is also available through Online Account Access | yes ☐ | n/a |
| **Annual financial reports to be sent in the mail**<br>refer to page 34 for details<br>Note: annual financial reports are also available on our website | yes ☐<br>no (default) ☐ | n/a |
| **Marketing material**<br>I/We would like to be informed about Perpetual's products, services and offers | yes ☐<br>no (default) ☐ | n/a |

- For each optional feature you have selected, please ensure you have read and understood the relevant section in the PDS for that optional feature.
- If you have nominated an optional feature above, please ensure you fill out the relevant columns in the table in **section** 5 completely.

## 5. Investment allocation and payment details

**The minimum investment amount is $5,000 per Fund.**

| Fund | short code | initial investment | savings plan or withdrawal plan | investment strategy (BPAY and auto-rebalancing) | distributions (indicate a preference with an X). If no selection is made, reinvest will be assumed | |
|---|---|---|---|---|---|---|
| | | $ or % | $ | % | reinvest | bank account 1 |
| Conservative | PCCF | | | | | |
| Diversified | PCBF | | | | | |
| Balanced | PCIG | | | | | |
| Growth | PCGF | | | | | |
| Geared High Growth | PCGHGF | | | | | |
| Fixed Income | PCAFI | | | | | |
| Real Estate | PCPF | | | | | |
| Australian Share | PCASF | | | | | |
| Geared Australian Share | PCGASF | | | | | |
| International Share | PCOSF | | | | | |
| Total | | $ | $ | | | |

**Payment details**

How will this investment be made? NOTE: Cash is not accepted

cheque ☐ make cheque payable to **PIML–PSIF–[insert name(s) of applicant(s)].**

direct debit ☐ debit my/our bank account 1 nominated in section 6. I/we acknowledge and accept the terms and conditions of direct debit.

BPAY ☐ funds to be remitted upon receipt of CRN.

## 6. Bank account details

You can only nominate a bank account that is held in your name(s). By providing your bank account details in this section, you authorise Perpetual to use these details for all future transaction requests that you nominate.

**Bank account 1**

Complete your account details in this section if you would like us to debit or credit your bank account for applications, withdrawals and payment of distributions, as applicable.

name of financial institution

branch name

branch number (BSB) –

account number

name of account holder

signature of account holder A

signature of account holder B

date / /

**Bank account 2**

**Only** complete your account details in this section if you would like us to debit a **different** bank account for your **savings plan**.

name of financial institution

branch name

branch number (BSB) –

account number

name of account holder

signature of account holder A

signature of account holder B

date / /

## 7. Adviser use only

**Ongoing commission rebate**

To rebate all of your ongoing commission, please tick the box: ☐

**Adviser details**

adviser name

phone (after hours) phone (business hours)

mobile fax

AFSL licensee name AFSL number

either Perpetual adviser number

or dealer group dealer branch

adviser signature date / /

ADVISER STAMP

IL GN / / (Group)

IL AN / / (Adviser)

IL CN / / (Client)

## 8. Declaration and signature

I/We declare and agree that:

- I/We have read and understood the attached Product Disclosure Statement (PDS) for Perpetual Select Investment Funds and have received and accepted the offer to invest in Australia
- all of the information provided in my/our application is true and correct
- I am/we are bound by any terms and conditions in this PDS and the provisions of the constitutions of the Funds that I am/we are invested in
- I/we have the legal power to invest and/or are at least 18 years of age
- I/we acknowledge that Perpetual will hold personal information about me/us and will disclose this information to my/our financial adviser (named in this form) in relation to the investments described in this form. I/We acknowledge that Perpetual will cease to disclose this personal information if I/we notify Perpetual that the financial adviser named in this form no longer acts on my/our behalf
- if I/we have received this PDS from the internet or other electronic means, that I/we received it personally or a print out of it, accompanied by or attached to this application form
- if this is a joint application, each of us agrees, unless otherwise indicated on this application, our investment is as joint tenants. Each of us is able to operate the account and bind the other(s) to any transaction including investments, switches or withdrawals by any available method
- in relation to trust investors, only the trustee has rights and obligations under the Funds
- withdrawals by companies must be signed by an authorised representative or in accordance with the company's constitution or under power of attorney
- I/we confirm that I/we have provided my/our financial adviser with acceptable identification documentation as described in the application form (individual and joint investors) or relevant customer identification form (companies, trusts and other customer types) OR I/we are not investing through a financial adviser, and therefore have included certified copies of acceptable identification documentation as described in the application form (individual and joint investors) or relevant customer identification form (companies, trusts and other customer types).

I/We acknowledge that:

- the information contained in the PDS is not investment advice or a recommendation that any Fund is suitable having regard to my/our investment objectives, financial situation or particular needs
- Perpetual may be required to pass on my/our personal information or information about my/our investment to the relevant regulatory authorities, including for compliance with the Anti-Money Laundering and Counter-Terrorism Act 2006 or associated regulation and any tax-related requirements for tax residents of other countries

## 8. Declaration and signature (continued)

- investments in the Funds are not investments, deposits or other liabilities of Perpetual Limited or its subsidiaries and are subject to investment and other risks, including possible delays in repayment and the loss of income and principal invested
- neither Perpetual Investment Management Limited nor Perpetual Limited or its subsidiaries guarantee the repayment of capital or the performance of the Funds or any particular rate of return from the Funds
- if I/we have elected to make investments (including savings plan) by direct debit, I/we have read the Direct Debit Request Service Agreement and accept the terms and conditions of direct debit
- Perpetual Group may contact me/us at any time whilst I/we remain an investor in the Funds.
- the PDS has referred me/us to additional information or terms and conditions ('information') of this product which may assist me/us in making my/our investment decision and I/we have referred to this information to the extent I/we considered it was necessary to make my/our investment decision
- Perpetual Group may contact me/us at any time whilst I/we remain an investor in the Funds.

**Please make cheque payable to 'PIML–PSIF–[insert name(s) of applicant(s)].**

**Joint applicants must both sign**

| **signature of investor 1 or company officer** | **signature of investor 2 or company officer** |
|---|---|
| | |
| print name | print name |
| | |
| capacity (company investments only) | capacity (company investments only) |
| ☐ sole director ☐ director ☐ secretary | ☐ director ☐ secretary |
| date | date |
| / / | / / |

### Important notes:

- If signing under power of attorney, the attorney certifies that he or she has not received notice of revocation of that power. The power of attorney, or a certified copy, must be sent to Perpetual, if not previously provided.
- Perpetual has the absolute discretion to accept or reject any application.
- Investors should retain a copy of the PDS.
- A business day is a working day for Perpetual in Sydney.

### Final checklist

Have you:

- Completed all sections of your application form?
- Signed your application form?
- Provided your financial adviser the customer identification documents requested in this application form or the relevant Customer Identification form
- OR, if you do not have a financial adviser, enclosed certified copies of your customer identification documents and relevant Customer Identification forms (only required for companies, trusts and non-individual investor types)?

Please send your completed application form to:

**Reply Paid 4171**
**Perpetual Select Investment Funds**
**GPO Box 4171**
**Sydney NSW 2001**