

Application for Additional Investment and Features Form

Please complete this form in black ink using **BLOCK** letters. All pages of this form must be returned to us.

Section 1 and 9 must be completed.

1. Member details (must be completed)

member number	
member name	
contact number*	
email address	

* If you provide your contact number we will update our records accordingly. If you are updating your mobile number, we will also require certified identification.

By providing my email address, I agree to receive any information about my investment (such as transaction confirmations, statements, reports and other materials or notifications required by the Corporations Act) electronically. This may include email notifications advising me when new information regarding my investment is available for viewing online, via hyperlink or via Perpetual Member Portal. I acknowledge you may still need to send me information by mail from time to time.

2. Features

Indicate which optional features you would like applied to your account.

BPAY (additional contributions)	yes (default) ☐	no ☐
Auto-rebalancing If you are investing in Perpetual Geared Australian Share investment option you must tick 'Yes' to the auto-rebalancing feature and complete the 'investment strategy' column in the following section.	yes ☐ no ☐	quarterly (default) ☐ half-yearly ☐ yearly ☐
Nomination of beneficiary If you would like to nominate a beneficiary to receive your benefit on death complete the 'Nomination of beneficiary' form.	yes ☐	no ☐
Adviser online access Note: your financial adviser can access information about your account online (and may extend to their authorised delegates the same level of online access you have determined for your adviser)	view & transact (default) ☐	view only ☐
Investment information to be sent in the mail Note: most of your investment information is also available online through Perpetual Member Portal.	online only (default) ☐	online and post ☐
Annual report to be sent in the mail Note: the annual report is also available at www.perpetual.com.au	no (default) ☐	yes ☐
Marketing material If you would like to receive investment education material and be informed about our products, services and offers.	yes (default) ☐	no ☐

- For each optional feature you have elected, please ensure you have read and understood the relevant section in the WealthFocus Super Plan Product Disclosure Statement (PDS) for that feature.
- If you have nominated an optional feature above, please ensure you fill out the relevant columns in the table in the following section.

3. Payment details

How will the additional contribution be made? NOTE: Cash is not accepted.

	we will debit your bank account nominated in 'Bank account details' section. I acknowledge and accept the terms and conditions of direct debit as explained in the direct debit request service agreement which is available from www.perpetual.com.au/wealthfocus-super-updates .	
direct debit	☐	
rollover	☐	make sure you complete the 'Transfer authority' form
BPAY	☐	for making additional personal or spouse contributions. we'll provide you with a Customer Reference Number (CRN)

Source of funds being invested (tick most relevant option)

retirement savings	☐	employment income	☐	sale of assets	☐
inheritance/gift	☐	financial investments	☐	other	

4. Contribution/rollover details

Please indicate below the amount of your additional contribution and/or rollover (please ensure that your previous superannuation provider has been instructed to rollover your funds).

Contribution limits: Please refer to the PDS for information about contribution limits. You should speak to your financial adviser about these limits when considering your situation. Contributions made in excess of the limits will attract additional tax.

One-off contributions

Contribution type	Amount	Further details
personal contribution	\$	If you are eligible and intend to claim a tax deduction on these contributions you will also need to complete the 'Tax deduction for personal contribution' section.
spouse contribution	\$	
BPAY	\$	for making additional personal or spouse contributions. we'll provide you with a Customer Reference Number (CRN)
downsizer contribution	\$	You will also need to complete a 'Downsizer contribution into superannuation' form (available from the ATO).
CGT contribution	\$	You will also need to include a completed 'Capital gains tax cap election' form (available from the ATO).
personal injury payment	\$	You will also need to include a completed 'Contributions for personal injury election' form (available from the ATO).
COVID-19 re-contribution	\$	You will also need to include a completed 'Notice of re-contribution of COVID-19 early release amounts' form (available from the ATO).
Total	\$	

Rollovers

If you are transferring from another super fund please complete relevant details below. You will also need to complete the 'Transfer authority' form for each rollover being requested.

Name of the previous institution	Policy /Account number	Approximate amount
		\$
		\$
		\$
		\$
		\$
Total		\$

4. Contribution/rollover details (continued)

Savings plan

Only complete this section if you would like to establish a savings plan to make regular contributions from a nominated bank account. You will also need to nominate a bank account in the ‘Bank account details’ section, from which contributions will be deducted under the savings plan.

Contribution type If you are eligible and intend to claim a tax deduction on any personal contributions you will need to complete the following section.	personal ☐ spouse ☐
Amount (no minimum)	\$
Frequency	monthly (default) ☐ quarterly ☐

5. Tax deduction for personal contributions

Please refer to the PDS for information about your eligibility to claim a tax deduction for your personal contributions.

I am eligible and intend to claim a tax deduction for my personal contributions of:

This is your notice to us, to be effective from the later of the date of this application or the date that you become a member of the Super Plan, of the amount you intend to claim as a tax deduction in relation to Section 290-170 of the Income Tax Assessment Act 1997. We will deduct 15% contributions tax from this amount. This notice will be applicable for the current financial year unless you notify us in writing of your intention to vary this notice. We will send you an acknowledgement of the amount you wish to claim as a tax deduction which you will need to retain for tax purposes for the current financial year.

6. Bank account details

If you are updating or providing new bank account details, you will need to provide a copy of your bank statement for verification purposes.

You can only nominate a bank account that is held in your name.

By providing your bank account details in this section, you accept the terms in the direct debit request service agreement and authorise us to use these details for all future transaction requests that you nominate.

Bank account

Complete your bank account details in this section and indicate what you would like us to use these bank account details for

☐ contributions ☐ savings plan ☐ withdrawals

name of financial institution

branch name

BSB

account name

signature of account holder A

signature of account holder B

account number

date DD / MM / YYYY

date DD / MM / YYYY

I request and authorise Perpetual Investment Management Limited, Debit User Identification Number 092646 to arrange for any amount Perpetual Investment Management Limited may debit or charge me to be debited through the Bulk Electronic Clearing System from an account held at the financial institution identified above, subject to the terms and conditions of the direct debit request service agreement which is available from www.perpetual.com.au/wealthfocus-super-updates.

7. Investment allocation

The way you initially allocated your money across investment options becomes your investment strategy. All future contributions will be allocated according to your investment strategy. If you want to update your investment strategy please complete below.

I would like to have my additional investment invested according to my existing investment strategy.

☐

yes

☐

no

If 'no' please complete the Investment Strategy column below. All future contributions will be allocated according to your investment strategy.

Investment options	short code	investment strategy %
Cash		
Perpetual Cash	PSCA	
Fixed income		
Perpetual Diversified Income	PISDIN	
Schroder Fixed Income	PISDAB	
Vanguard Australian Fixed Interest Index	PISVGY	
Property securities and infrastructure securities		
Lazard Global Listed Infrastructure	PISLGL	
Vanguard Australian Property Securities Index	PISVGP	
Australian shares		
Ausbil Australian Active Equity	PISUBA	
Fidelity Australian Equities	PISFID	
Investors Mutual Australian Share	PISIMA	
Perpetual Australian Share	PSAS	
Perpetual Concentrated Equity	PISCEF	
Perpetual ESG Australian Share	PISSRF	
Perpetual Geared Australian Share (max 50%)	PISGAF	
Perpetual Industrial Share	PSIS	
Perpetual SHARE-PLUS Long-Short	PISSPF	
Perpetual Smaller Companies	PSSC	
Vanguard Australian Shares Index	PISVGA	
International shares		
Barrow Hanley Global Share	PSIT	
Magellan Global	PISAAI	
MFS Global Equity	PISMFG	
Perpetual Global Allocation Alpha	PSSG	
T. Rowe Price Global Equity	PISTRP	
Vanguard International Shares Index	PISVIS	
Vanguard International Shares Index (Hedged)	PISVGI	

7. Investment allocation (continued)

Investment options	short code	investment strategy %
Multi-asset – moderate		
Perpetual Conservative Growth	PSCG	
Multi-asset – balanced		
Perpetual Diversified Growth	PSDG	
Perpetual Diversified Real Return	PISDRR	
Multi-asset – growth		
BlackRock Tactical Growth	PISUBB	
Perpetual Balanced Growth	PSBG	
Total		100%

1 The investment strategy applies to all your additional investments. If you make an additional investment it will be invested according to your investment strategy. If you request to have your investment auto rebalanced, it will be rebalanced according to your investment strategy. If you do not complete the investment strategy above, your investment strategy will be set according to the additional investments above, or any subsequent written instruction we receive from you.

8. Target Market Assessment

To be completed only by a member who is investing into the Perpetual Geared Australian Share investment option and is a non-advised direct member (which is a member without a financial adviser). If this does not apply to you, please go to the next section.

Please complete the following questions by ticking one box for each question. We are seeking this information in relation to our Design and Distribution Obligations (DDO) under the Corporations Act 2001 (Cth).

We do not use the information you provide us in this application form to consider your individual objectives, financial situation or needs, however your responses to the questions below will assist us in determining whether you are likely to be in the target market for this product. If you are not in the target market for the product, your application may be rejected.

What is your primary investment objective?	
Capital Growth (seeks to invest in a product designed or expected to generate capital returns over the investment timeframe, or otherwise seeks an investment return above the current inflation rate)	☐
Capital Preservation (seeks to invest in a product designed or expected to have low volatility and minimise capital loss)	☐
What is the intended use of this product (% of investable assets)?	
(Investable assets are those assets that the member has available for investment, excluding the residential home.)	
Standalone Solution (up to 100%)	☐
Major allocation (up to 75%)	☐
Core component (up to 50%)	☐
Minor allocation (up to 25%)	☐
Satellite allocation (up to 10%)	☐
What is your intended investment timeframe?	
Short term (two years or less)	☐
Medium term (more than 2 years but less than 5 years)	☐
Medium to Long term (equal to 5 years or less than 7 years)	☐
Long term (more than 7 years)	☐

8. Target Market Assessment (continued)

What is the risk and return profile for the relevant portion of your portfolio you are investing in this fund?
(for this product only)

Low (seeks to minimise volatility and potential losses and comfortable with a low target return)

Medium (seeks low volatility and potential losses and comfortable with a moderate target return)

High (can accept higher volatility and potential losses in order to target a higher target return over a long timeframe)

Very high (can accept very high volatility and higher potential losses and seeks to maximise returns over a long timeframe)

Extremely high (can accept significant volatility and losses to seek accelerated returns potentially in a short timeframe)

9. Financial adviser use only

Financial adviser details

financial adviser name

phone

postal address

AFSL licensee name

AFSL number

adviser number

dealer group

dealer branch

email address

financial adviser signature

date

DD

/

MM

/

YYYY

ADVISER STAMP

10. Declaration and signature (must be completed)

I declare and agree that:

- I have read and understood the current PDS and any relevant incorporated material for Perpetual WealthFocus Super Plan
- I agree to be bound by any additional restrictions in the current PDS and any incorporated material (as amended from time to time)
- all of the information provided in my application is true and correct
- I have read and understood the privacy disclosure as detailed in the PDS. I consent to my personal information being collected, held, used and disclosed in accordance with the privacy disclosure. I consent to the Trustee disclosing this information to my financial adviser (named in this form) in relation to the investments described in this form. Where the financial adviser named in this form no longer acts on my behalf, I will notify the Trustee of the change
- If I have received the PDS from the internet or other electronic means that I received it personally or a print out of it, accompanied by this additional investment form
- If applicable, in the case of contributions, that I have read and understood the contribution eligibility rules in the PDS and that I am eligible to make or have contributions made for my benefit and will notify the Trustee if I am no longer eligible
- I understand that I am responsible for my contributions, and that contributions in excess of limits will attract additional tax
- If I am claiming a personal tax deduction in relation to my contributions:
 - I intend to claim the personal contributions stated as a tax deduction
 - I am a current member of the Perpetual WealthFocus Superannuation Fund
 - Perpetual WealthFocus Superannuation Fund currently holds these contributions and has not begun to pay a superannuation income stream based in whole or part on these contributions
 - I have not included any of the contributions in an earlier valid notice.

I declare that I am lodging this notice at the earlier of either:

- before the end of the day that I lodged my income tax return for the income year in which the personal contributions were made, or
- before the end of the income year following the year in which the contribution was made.
- I authorise the Trustee to quote my TFN to the Australian Taxation Office (ATO).
- I authorise the Trustee to obtain information from the ATO regarding my superannuation account in relation to my TFN, PAYG or other superannuation tax-related matters.
- Where I have agreed to pay my adviser an adviser service fee, this fee is for personal financial advice received relating to my investment in the Perpetual WealthFocus Super Plan.

I acknowledge that:

- the Trustee is required to provide information to the ATO regarding any superannuation account
- the Trustee will hold personal information about me and will disclose this information to my financial adviser (named in this form) in relation to the investments described in this form. Where there is any change to this authority or relating to my financial adviser, I will notify the Trustee of the change.
- neither the Trustee, Perpetual Investment Management Limited, nor any of their related entities guarantees the repayment of capital or the performance of the Perpetual WealthFocus Super Plan or any investment option.

Perpetual Geared Australian Share investment option

(You must read and tick the box below if you have chosen to invest in Perpetual's Geared Australian Share investment option)

- ☐ I have thoroughly read the 'Understanding investment risk' section of the PDS and the 'Investment limits' section of the Investment Menu. I understand the greater risks associated with my selection of this investment option and that it has a suggested investment timeframe of seven years or more.
- ☐ I acknowledge and accept that, if the value of my investment in this investment option has risen above or fallen below my nominated percentage allocation (or the default percentage allocation if I do not make a nomination) at my nominated review date (or the default frequency if I do not make a nomination) it will be automatically rebalanced to my nominated percentage allocation (or default percentage allocation) across my investments. I acknowledge that the buy/sell spread will apply to this rebalancing transaction.

signature		date			/			/				
print name												

Forward your completed form to:

Perpetual WealthFocus Super and Pension, Reply Paid 92151, PO Box 617, Parramatta NSW 2124

Alternatively, you can send us a copy by email:

superandpension@perpetual.com.au