

Customer identification form

Unregulated trusts and trustees

If you are not an unregulated trust or trustee, you must download and complete the relevant customer identification form from www.perpetual.com.au/customer-id

Alternatively, to order a form or if you have any questions, phone:
Investor Services 1800 022 033
Adviser Services 1800 062 725

If you have provided a customer identification form to Perpetual after 12 December 2007, then you do not need to complete another form unless your details have changed.

About this customer identification form

This form has been designed to meet Perpetual's obligations under the Anti-Money Laundering and Counter Terrorism Financing Act (2006) (AML Act) to identify our customers.

The AML Act regulates financial services and transactions in a way that is designed to detect and prevent money laundering and terrorism financing.

Under the AML Act, we are required to:

- verify your identity before providing services to you, and to re-identify you if we consider it necessary to do so
- where you supply documentation relating to your identity, keep a record of this documentation for seven years after the end of your relationship with Perpetual.

Checklist

You must complete the following steps to ensure your application is processed:

- complete ALL required sections in this customer identification form
- provide certified copies of document(s), as requested in this customer identification form, either to us or to your financial adviser
- enclose this completed form with your fully completed application form from the product disclosure statement and send to Perpetual.

Please note that we CANNOT process your application unless the information requested in this form has been provided.



**Customer identification form
Unregulated trusts and trustees**

Please complete this form for unregulated trusts and trustees. For self managed super funds, managed investment schemes and government super funds, complete the AUSTRALIAN REGULATED TRUSTS AND TRUSTEES FORM.

Complete all applicable sections of this form in black ink, using BLOCK LETTERS.

Section 1: Unregulated trust details

1.1 General information

Full name of trust	
Full business name (if any)	
Country where trust established	

1.2 Type of unregulated trust (select ✓ only one of the following trust types and provide the information requested)

Family trust
 Charitable trust
 Testamentary trust
 Other type (provide description) _____

1.3 Beneficiary details

Does the trust deed name the beneficiaries?

Yes How many beneficiaries are there? _____ provide full name of each beneficiary below

	first name(s)	last name
1		
2		
3		
4		
5		
6		

If there are more beneficiaries, provide details on a separate sheet

No Provide details of the membership class/es for the beneficiaries (eg unit holders, family members of named person, charitable purpose) _____

1.4 Trustee details

How many trustees are there? provide full name and address of each trustee below

Trustee 1	Trustee 2	Trustee 3
first name(s) <input type="text"/>	first name(s) <input type="text"/>	first name(s) <input type="text"/>
last name <input type="text"/>	last name <input type="text"/>	last name <input type="text"/>
residential address (PO Box is NOT acceptable) <input type="text"/>	residential address (PO Box is NOT acceptable) <input type="text"/>	residential address (PO Box is NOT acceptable) <input type="text"/>
suburb <input type="text"/> state <input type="text"/>	suburb <input type="text"/> state <input type="text"/>	suburb <input type="text"/> state <input type="text"/>
country <input type="text"/> postcode <input type="text"/>	country <input type="text"/> postcode <input type="text"/>	country <input type="text"/> postcode <input type="text"/>

If there are more trustees, provide details on a separate sheet.

Section 2 – Trustee details (Please complete **EITHER** section 2.1 **OR** section 2.2)

Provide identification information for one of the trustees in this section and identification documents for this trustee in Section 3b OR 3c.

Section 2.1: Individual trustee (To be completed if the selected trustee is an individual)

first name(s)	<input type="text"/>
last name	<input type="text"/>
date of birth	<input type="text"/> / <input type="text"/> / <input type="text"/>
residential address (PO Box is NOT acceptable)	<input type="text"/>
street	<input type="text"/>
suburb	<input type="text"/> state <input type="text"/> postcode <input type="text"/>
country	<input type="text"/>

Section 2.2 – Company trustee (To be completed if the selected trustee is an Australian Company. If the selected Trustee is a foreign company then complete the FOREIGN COMPANY IDENTIFICATION FORM in addition to this form)

2.2.1 Company Details

full name as registered by ASIC

ACN

registered office address (PO Box is NOT acceptable)

street

suburb state postcode

principal place of business (if different to registered office) (PO Box is NOT acceptable)

street

suburb state postcode

2.2.2 Regulatory/Listing details (If the company is regulated or listed, select the relevant category and provide the information requested)

Regulated company (a company whose activities are subject to the oversight of a Commonwealth, State or Territory statutory regulator. In this context regulated means subject to oversight beyond that provided by ASIC as a company registration body. Examples of regulated companies include Australian Financial Services Licensees (AFSL), Australian Credit Licensees (ACL) or Registrable Superannuation Entity (RSE) Licensees)

regulator name

licence number (e.g. AFSL/ACL/FSE)

Australian listed company

name of market/exchange

Majority-owned subsidiary of an Australian listed company

Australian listed company name

name of market/exchange

2.2.3 Company type (Select one of the following company types)

- Public** (companies whose name does NOT include the word Pty or proprietary; generally listed companies) Go to Section 3.
- Proprietary** (companies whose name ends with Proprietary Ltd or Pty Ltd; also known as private companies) Go to Section 2.2.4

2.2.4 Directors (To be completed for proprietary companies, not required for public companies as per 2.2.3)

How many directors are there? provide full name of each director below

	first name(s)	last name
1	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>

If there are more directors, provide details on a separate sheet.

2.2.5 Shareholders (To be completed for proprietary companies, not required for public companies as per 2.2.3)

Provide the name and residential address (PO Box is not acceptable) of all individuals who are beneficial owners through one or more shareholdings of more than 25% of the company's issued capital.

Shareholder 1	Shareholder 2	Shareholder 3
first name(s) <input type="text"/>	first name(s) <input type="text"/>	first name(s) <input type="text"/>
last name <input type="text"/>	last name <input type="text"/>	last name <input type="text"/>
residential address (PO Box is NOT acceptable) <input type="text"/>	residential address (PO Box is NOT acceptable) <input type="text"/>	residential address (PO Box is NOT acceptable) <input type="text"/>
suburb <input type="text"/>	suburb <input type="text"/>	suburb <input type="text"/>
state <input type="text"/>	state <input type="text"/>	state <input type="text"/>
country <input type="text"/>	country <input type="text"/>	country <input type="text"/>
postcode <input type="text"/>	postcode <input type="text"/>	postcode <input type="text"/>

Section 3: Trusts and trustees identification documents

- If you are applying directly with Perpetual – you will need to provide a certified copy of the document(s) with your application
- If you are lodging this application through a financial adviser – you may provide a certified copy of the document(s) with your application OR have your adviser sight an original or certified copy of your document(s).

Section 3.1: Unregulated trust identification documents

Provide ONE document from the following:

- an original or certified copy or certified extract of the trust deed
- a notice issued by the Australian Taxation Office within the last 12 months (eg a notice of assessment)
- a letter from a solicitor or qualified accountant that confirms the name and existence of the trust.

AND EITHER

Section 3.2: Individual trustee identification documents

Primary ID documents

Provide ONE of the following:

- Australian State/Territory driver's licence containing your photograph (must be current)
- Australian passport (current or a passport that has expired within the preceding two years is acceptable)
- card issued under a State or Territory law for the purpose of proving a person's age containing your photograph (must be current)
- foreign passport or similar travel document containing your photograph and signature (must be current)

If the individual trustee listed in Section 2 does not have one of these primary ID documents, please refer to the customer identification form for INDIVIDUALS to determine secondary forms of identification that can be provided.

OR

Section 3.3: Australian company trustee identification documents

Provide ONE of the following:

- an original or certified copy of the certificate of registration issued by ASIC
- a public document issued by the relevant company (for Australian listed company or a majority owned subsidiary of an Australian listed company)
- alternatively, if you have a financial adviser they may be able to perform a search of the relevant ASIC database.

Any documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.

How to certify your documents

A certified copy means a document that has been certified as a true and correct copy of a document by a person in one of the occupations listed below, including all persons described in the Statutory Declarations Regulations 1993.

To create a certified copy, one of the persons listed below must write the following on the copy of the document.

'I, [full name], [category of persons as listed below], certify that this [name of document] is a true and correct copy of the original.
[signature and date]'

- A bank, building society, credit union or finance company officer with a minimum of 2 years continuous service
- A fellow of the National Tax Accountants' Association
- A judge of a court, Justice of the Peace or magistrate
- A legal practitioner
- A medical practitioner including dentist, nurse, optometrist, pharmacist, physiotherapist, psychologist or veterinary surgeon
- A permanent employee or agent of the Australian Postal Corporation with a minimum of 2 years continuous service
- A police officer
- A teacher employed on a full-time basis at a school or tertiary education institution
- An accountant who is a member of the Institute of Chartered Accountants in Australia, CPA Australia, the National Institute of Accountants or the Association of Taxation and Management Accountants
- An Australian Consulate or Diplomatic Officer
- An Australian Financial Services Licence holder or their authorised representative (includes any licensed financial advisor) with a minimum of 2 years continuous service

IMPORTANT: Please ensure that you have either

- enclosed certified copies of your identity documents **OR**
- agreed that your adviser will complete the Adviser Record of Verification procedure overleaf.

Section 4: Record of trust and trustee verification procedure (Adviser use only)

This section is to be used by Advisers when a record of verification is provided, rather than certified copies of identity documentation.

Please verify: 1. The existence of the trust; AND
2. The identity of the individual or company trustee.

1. Verify trust

ID document details	Document 1	Document 2 (if applicable)
Verified from	<input type="checkbox"/> performed search <input type="checkbox"/> original <input type="checkbox"/> certified copy	<input type="checkbox"/> performed search <input type="checkbox"/> original <input type="checkbox"/> certified copy
Document name / type	<input type="text"/>	<input type="text"/>
Document issuer / website	<input type="text"/>	<input type="text"/>
Issue date / search date	<input type="text"/>	<input type="text"/>
Accredited English translation	<input type="checkbox"/> N/A <input type="checkbox"/> sighted	<input type="checkbox"/> N/A <input type="checkbox"/> sighted

AND

2. Verify selected trustee

ID document details	Document 1	Document 2 (if applicable)
Verified from	<input type="checkbox"/> performed search <input type="checkbox"/> original <input type="checkbox"/> certified copy	<input type="checkbox"/> performed search <input type="checkbox"/> original <input type="checkbox"/> certified copy
Document name / type	<input type="text"/>	<input type="text"/>
Document issuer / website	<input type="text"/>	<input type="text"/>
Document number	<input type="text"/>	<input type="text"/>
Issue date / search date	<input type="text"/>	<input type="text"/>
Expiry date	<input type="text"/>	<input type="text"/>
Accredited English translation	<input type="checkbox"/> N/A <input type="checkbox"/> sighted	<input type="checkbox"/> N/A <input type="checkbox"/> sighted

By completing and signing this Record of Verification Procedure I declare that I have verified the identity of the Customer as required by AML/CTF Rules and that this identification procedure has been performed by an AFSL holder or an authorised representative of an AFSL holder.

AFS licensee name	<input type="text"/>	AFSL number	<input type="text"/>
representative/employee name	<input type="text"/>	phone number	<input type="text"/>
signature	<input type="text"/>	date verification completed	<input type="text"/>